

<b>UFT Submission System - State Participation</b>													
<b>Updated August 4, 2020</b>													
<u>Jurisdiction</u>	<u>State Participation</u>	<u>Registrations by Coordination</u>	<u>Registrations by Qualifications</u>	<u>Reg A Tier 1</u>	<u>Reg A Tier 2 Notice Filings</u>	<u>State Crowdfunding Offerings</u>	<u>Federal Crowdfunding Notice Filings</u>	<u>Franchises</u>	<u>Reg D - Rule 504</u>	<u>Mutual Funds</u>	<u>Other Filing Types</u>	<u>Other Filings Detail</u>	<u>Hard Copy Submission Required</u>
Alabama	Available	X	X	X	X	X	X			X			
Alaska	Available	X	X	X		X	X		X	X			
Arizona	Not on the system at this time												
Arkansas	Available	X	X	X	X				X		X	Model Accredited Investor Exemption, Existing Security Holder Exemption	
California	Available				X								
Colorado	Available	X	X	X	X	X	X		X				
Connecticut	Not on the system at this time												
Delaware	Available	X	X	X	X	X	X		X	X	X	LOE, Model Accredited Investor Notice Filing Exemption Form, Face Amount Certificate Company, Amended Form D Filings	
DC	Not on the system at this time												
Florida	Not on the system at this time												
Georgia	Available								X	X			
Hawaii	Available							X					
Idaho	Available	X	X	X	X	X				X	X		
Illinois	Available	X	X	X	X	X	X			X	X	4G Report of Sale: "IL Securities Law Section 4.G offering exemption"	
Indiana	Available								X	X			
Iowa	Available	X	X	X	X	X	X				X		
Kansas	Not on the system at this time												
Kentucky	Available	X	X	X	X	X			X				
Louisiana	Not on the system at this time												
Maine	Not on the system at this time												
Maryland	Available	X	X	X	X	X	X	X	X		X	Issuer agents, securities exemption filings	

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Massachusetts	Not on the system at this time												
Michigan	Available	X	X	X	X	X	X			X	X	Offerings of Not-for-profit securities filed under MCL451.2201(g) and R.451.2.1	
Minnesota	Not on the system at this time												
Mississippi	Available	X	X	X	X		X						
Missouri	Available	X	X	X						X			
Montana	Available	X	X	X	X	X	X						
Nebraska	Available	X	X	X	X			X					
Nevada	Not on the system at this time												
New Hampshire	Available	X	X	X	X		X				X	Non-profit filings	Yes - Form U2 <b>only</b>
New Jersey	Available	X	X	X		X			X		X	Section 4(2) Exemptions, Model Accredited Investor Exemption	Yes
New Mexico	Available	X	X	X	X				X		X	Exemption filings accepted, but would prefer these documents via paper	
New York	Not on the system at this time												
North Carolina	Not on the system at this time												
North Dakota	Available	X	X	X	X		X	X			X	Accredited Investor, Limited Offeree, Limited Offering, Non-Profit	
Ohio	Not on the system at this time												
Oklahoma	Available	X	X	X	X				X		X	Business Opportunity Regt., Exemption Notices, Coordination/Qualification filings, Issuer Agent registration, Requests for no action/discretionary exemptions	
Oregon	Available		X	X	X		X		X	X	X	U4 – Salesperson filings	
Pennsylvania	Available	X	X	X	X		X		X	X	X	Limited Offering Exemption	
Puerto Rico	Available	X	X	X	X		X		X				
Rhode Island	Available	X	X	X	X	X	X	X	X		X	All Others if they initiate original filing in EFD	

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South Carolina	Available				X							Per note, would consider accepting Registrations by Coordination and Tier 1 filing through EFD temporarily in certain situations	
South Dakota	Available	X	X	X	X	X	X	X	X		X	Business Opportunity, Church Extension, Model Accreditation.	
Tennessee	Not on the system at this time												
Texas	Available	X	X	X	X	X					X	Over Sales; Secondary Trading Exemption; TX Specific: Non-issuer Sales, Sales to individual Accredited Investors, Accredited Investor exemption, Crowdfunding Exemption, Intrastate limited offering exemption	
Utah	Available	X	X	X	X		X				X	Manual, Existing Shareholder, Secondary Trading, Reorganization/Merger, Discretionary, Accredited Investor	
Vermont	Available	X	X	X	X	X	X		X		X	Church bond & Church extension funds	
Virginia	Available	X	X	X	X	X	X	X			X	Model Accredited Investor Form	
US Virgin Islands	Not on the system at this time												
Washington	Available	X	X	X	X	X	X		X		X	State Exemption Notices	
West Virginia	Available	X	X	X	X	X	X			X	X	Employee Exemption, Non-profit Exemption.	
Wisconsin	Available	X	X	X	X						X	State Exemptions.	
Wyoming	Available	X	X	X	X					X			